

S 11/19

**P-5474-D1-C1-C1 PATENT**  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Michael J. Sullivan, et al  
For: GOLF BALL WITH SOFT CORE

Serial No: 10/077,148

Group Art Unit: 3711

Filed: February 15, 2002

Examiner: Not Yet Assigned

Assistant Commissioner for Patents  
Washington, DC 20231

ATTN: Office of Initial Patent Examination  
Customer Service Center

Sir:

**REQUEST FOR CORRECTED FILING RECEIPT**

1. Attached is a copy of the Official Filing Receipt received from the PTO in the above application for which issuance of a corrected Filing Receipt is respectfully requested.
2. There is an error in that the following data is

<u>XX</u>	incorrectly entered	and/or	_____	omitted
_____	Applicant's name		_____	Applicant's address
_____	Title		_____	Filing Date
_____	Serial Number			
_____	Foreign/PCT Application Reference			
<u>XX</u>	Other: FIL FEE REC'D; TOT CLAIMS; IND CLAIMS			

---

**CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8a)**

I hereby certify that this correspondence is, on the date shown below, being:

**MAILING**

**FACSIMILE**

\_\_\_\_\_ deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

XX transmitted by facsimile to the Patent and Trademark Office.

Date:

11/13/02

Leslie A. Tzivanis  
Leslie A. Tzivanis

Serial No. 10/077,148

Filing Date: February 15, 2002

P-5474-D1-C1-C1

In the filing receipt under "FIL FEB REC'D", the amount should read: 740.

In the filing receipt under "TOT CLAIMS", the total number of claims should read: 20.

In the filing receipt under "IND CLAIMS", the number of independent claims should read : 3.

The following documents are provided for verification of the above and for your convenience with respect to the above-identified patent application:

A copy of the "Preliminary Amendments" filed on February 15, 2002 and September 17, 2002.

3.    **XX**    The correction is not due to any error by Applicant and no fee is due.  
      —    The correction is due to Applicant's error and the fee therefor under 37  
          C.F.R. § 1.19(h) of \$25.00 is paid as follows:  
      —    Please charge Deposit Account 17-0150 in the amount of \$25.00.  
      **XX**    Please charge Deposit Account 17-0150, if any applicable fees are deemed  
          necessary in this Request For Corrected Filing Receipt.

Respectfully submitted,  
Michael J. Sullivan, et al

Date: November 13, 2002  
Customer No. 24492  
(413) 322-2937  
MB/lat

Michelle Bugbee  
Michelle Bugbee, Reg. No. 42,370  
Associate Patent Counsel  
Spalding Sports Worldwide, Inc.  
425 Meadow Street  
P.O. Box 901  
Chicopee, Massachusetts 01021-0901


 Commissioner for Patents  
 Washington, DC 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/077,148	02/15/2002	3711	7898 740	P-5474-D1- C1-C1	13	56 20	8 3

CONFIRMATION NO. 5579

24492  
 MICHELLE BUGBEE, ASSOCIATE PATENT COUNSEL  
 SPALDING SPORTS WORLDWIDE INC  
 425 MEADOW STREET  
 PO BOX 901  
 CHICOPEE, MA 01021-0901

## CORRECTED FILING RECEIPT



\*OC000000009079531\*

Date Mailed: 11/07/2002

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Michael J. Sullivan, Barrington, RI;  
 Thomas J. Kennedy III, Wilbraham, MA;  
 John L. Nealon, Springfield, MA;  
 Kevin J. Shannon, Longmeadow, MA;

## Assignment For Published Patent Application

Spalding Sports Worldwide, Inc., Chicopee, MA;

## Domestic Priority data as claimed by applicant

This application is a CON of 09/724,156 11/28/2000 PAT 6,425,833  
 which is a CON of 09/299,416 04/26/1999 PAT 6,152,835  
 which is a DIV of 08/975,799 11/21/1997 PAT 5,971,870

## Foreign Applications

If Required, Foreign Filing License Granted 03/13/2002

Projected Publication Date: Not Applicable

Non-Publication Request: No

NOV 12 2002

Early Publication Request: No

**Title**

Golf ball with soft core

**Preliminary Class**

473

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, DC 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
10/077,148	02/15/2002	Michael J. Sullivan	P-5474-D1-C1-C1

CONFIRMATION NO. 5579

24492  
MICHELLE BUGBEE, ASSOCIATE PATENT  
COUNSEL  
SPALDING SPORTS WORLDWIDE INC  
425 MEADOW STREET  
PO BOX 901  
CHICOPEE, MA 01021-0901

OC000000009079608

Date Mailed: 11/07/2002

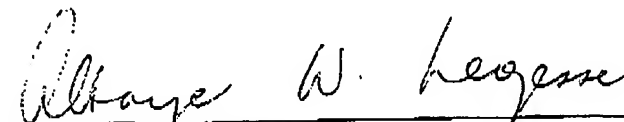
## RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT

## Claims, Fees, and Inventors

In response to your request for a corrected Filing Receipt, the Office is unable to comply with the request because:

- ☐ The total number of claims appearing on the Filing Receipt does not include multiple dependent claims. The total fee appearing on the Filing Receipt includes the cost of multiple dependent claims that were present at the time the application was filed.
- ☐ The filing fee is correct. It may include additional claims fees and/or the surcharge under 37 CFR 1.18(e) for filing an oath/declaration or basic filing fee after the application filing date; or it may not reflect fees refunded to the applicant that were paid by mistake.
- ☒ The number of claims reflected on the filing receipt is correct. Upon review of the claims, it was found that there was a miscalculation by the applicant. This may be due to improperly presented multiple dependent claims, typographical error, misnumbering of the claims, or other oversight. An amendment may be necessary to correct the problem.
- ☐ The filing fee reflected on the filing receipt is correct. Applicant may have miscalculated the fees due.
- ☐ Applicant calculated fees as other than small entity; however, applicant asserted small entity status in the application. Therefore, fees were applied as small entity and the remainder was refunded to the applicant.
- ☐ The difference between the fees paid and the fees due was refunded to the applicant and will not be shown on the filing receipt.

- ☐ The inventor information may be truncated if the family name consists of more than 50 characters (letters and spaces combined) and if the given name consists of more than 50 characters (letters and spaces combined).
- ☐ The inventor's residence allows for up to 40 characters (letters and spaces combined).
- ☐ The inventor's residence will only include the city and state for U.S. residences or city and country for residences outside the U.S. (See MPEP 605.02).
- ☐ A petition to correct the inventorship is needed to make this change. See 37 CFR 1.48. For non-provisional applications, the petition should be directed to the Director of the examining group assigned to your application.
- ☐ Changes made after submission of an executed declaration to the inventor information other than correction of typographical errors must be submitted in the form of a substitute declaration. Change of inventorship requires a petition under 37 CFR 1.48.
- ☐ The number of drawings shown on the filing receipt reflects the number of drawing sheets submitted and is not necessarily equal to the number of figures submitted.
- ☐ The correspondence address was captured as directed by applicant on filing. If you wish correspondence to be directed otherwise, please submit a request for a change of address.
- ☐ The docket number allows a maximum of 25 characters.
- ☐ The person signing on behalf of the deceased inventor is reflected on the Filing Receipt as the legal representative.
- ☐ The filing date of a parent application cannot be changed by this request. A petition to correct the filing date in the parent application is required.



Customer Service Center  
Office of Initial Patent Examination  
(703) 308-1202